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#18  
JRC  
8/21/03

Patent

Attorney's Docket No. 033052-004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	
	)	
Zhang, et al.	)	Group Art Unit: 1614
	)	
Application No.: 09/892,327	)	Examiner: Weddington, Kevin E.
	)	
Filed: June 26, 2001	)	Confirmation No.: 1920
	)	
For: NOVEL COMPOUNDS	)	
POSSESSING ANTIBACTERIAL,	)	
ANTIFUNGAL OR ANTITUMOR	)	
ACTIVITY	)	

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed and listed below:

#### U.S. Patents:

1.	4,107,288	Oppenheim et al.	08/15/1978
2.	5,145,684	Liversidge et al.	09/08/1992
3.	5,607,915	Patton	03/04/1997

Articles/Reviews:

4. Evans, D.A., et al., "The Asymmetric Synthesis of  $\alpha$ -Amino Acids. Electrophilic Azidation of Chiral Imide Enolates, a Practical Approach to the Synthesis of (*R*)- and (*S*)- $\alpha$ -Azido Carboxylic Acids", *J. Am. Chem. Soc.*, Vol. 112, pp. 4011-4030 (1990).
5. Gursky, G.V., et al., "Synthetic Sequence-Specific Ligands", *Cold Springs Harbor Symposia on Quantitative Biology*, Vol. XLVII, pp. 367-377 (1983).
6. March, J., *Advanced Organic Chemistry*, 4<sup>th</sup> Ed., (Wiley, John P. & Sons, NY:NY), Chapter 4, (1992).
7. Miller, R., et al., "A Convenient Synthesis of Pyrrole-2,5-dicarboxaldehyde", *Acta. Chem. Scand. B*, Vol. 35, pp. 303-304 (1981).
8. Pu, Y., et al., "Synthesis and Acylation of Salts of L-Threonine  $\beta$ -Lactone: A Route to  $\beta$ -Lactone Antibiotics", *J. Org. Chem.*, Vol. 56, pp. 1280-1283 (1991).
9. Sandven, P., "Detection of Fluconazole-Resistant *Candida* Strains by a Disc Diffusion Screening Test", *J. of Clin. Microbiol.*, Vol. 37, No. 12, pp. 3856-3859 (1999).
10. Williams, R.M., et al., "Asymmetric Synthesis of Monosubstituted and  $\alpha,\alpha$ -Di-substituted  $\alpha$ -Amino Acids via Diastereoselective Glycine Enolate Alkylations", *J. Amer. Chem. Soc.*, Vol. 113, pp. 9276-9286 (1991).

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such.

Applicants specifically reserve the right, where appropriate, to antedate any such reference by the appropriate showing under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

This Information Disclosure Statement is submitted before the mailing of a first Office Action in conjunction with the filing of a Request for Continued Examination Under 37 C.F.R. §1.114. Continued Examination is requested and the fee required under 37 C.F.R. §1.17(e) accompanies the present submission.

To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,  
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Date: June 10 2003

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